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DATE: June 17, 2005

TO: OIPE  
U.S. Patent and Trademark Office  
Fax: 703-746-9195

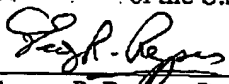
FROM: George Repper

OUR REF: 2732-138

SERIAL NO.: 10/501,734

Certificate of Facsimile

I hereby certify that this Request for Corrected Filing Receipt and Notice of Acceptance w/mark-ups are being transmitted via facsimile to the OIPE of the U.S. Patent and Trademark Office at fax number 703-746-9195.

  
George R. Repper, Reg. No. 31,414

Number of Pages Including This Transmittal Sheet: 6

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/501,734  
Applicant : HEIM  
Filed : April 26, 2005  
TC/A.U. : 2872  
Examiner :  
Docket No. : 2732-138  
Customer No. : 6449

Office of Initial Patent  
Examination's Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT  
AND NOTICE OF ACCEPTANCE**

Sir:

Please issue a corrected filing receipt and Notice of Acceptance for the above-referenced application. The Official Filing Receipt and Notice of Acceptance has the 371 date listed as April 25, 2005, however the declaration for this application was not filed until the second submission dated April 26, 2005. Therefore the 371/Date of Acceptance should be April 26, 2005 when all requirements under U.S.C. 371 (c)(1), (2) and (3) were met.

Copies of the filing receipt and notice of acceptance documents with the corrections to be made marked in ink for your reference.

Respectfully submitted,

By



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2732-138-cfr



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,734	04/25/2005	2872	1194	2732-138	3	28	1

04/26/2005

6449  
ROTHWELL, FIGG, ERNST & MANBECK, P.C.  
1425 K STREET, N.W.  
SUITE 800  
WASHINGTON, DC 20005

CONFIRMATION NO. 4376

## FILING RECEIPT

\*OC000000015997995\*

Date Mailed: 05/13/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Manfred Helm, Muenchen, GERMANY;

## Assignment For Published Patent Application

Giesecke &amp; Devrient GmbH, Muenchen, GERMANY

Power of Attorney: The patent practitioners associated with Customer Number 6449.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/00447 01/17/2003

## Foreign Applications

GERMANY 102 02 035.3 01/18/2002

Projected Publication Date: 08/18/2005

Non-Publication Request: No

Early Publication Request: No

Title

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ROTHWELL, FIGG, ERNST & MANBECK, P.C.  
2732-138  
MAY 18 2005  
5-2405

Security element with flip-flop color change effect and magnetic properties, article comprising such a security element and method for producing said security element and said article

**Preliminary Class**

359

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/501,734	Manfred Heim	2732-138

INTERNATIONAL APPLICATION NO.
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PCT/EP03/00447

IA. FILING DATE	PRIORITY DATE
01/17/2003	01/18/2002

6449

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CONFIRMATION NO. 4376

371 ACCEPTANCE LETTER



\*OC000000015997996\*

Date Mailed: 05/13/2005

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

04/26/2005  
~~04/25/2005~~

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
 (c)(4) REQUIREMENTS

04/26/2005  
 DATE OF COMPLETION OF ALL 35 U.S.C. 371  
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 07/16/2004
- English Translation of the IA filed on 07/16/2004
- Copy of the International Search Report filed on 07/16/2004
- Copy of IPE Report filed on 07/16/2004
- Copy of Annexes to the IPE filed on 07/16/2004
- English Translation of Annexes to the IPE filed on 07/16/2004
- Preliminary Amendments filed on 07/16/2004
- Information Disclosure Statements filed on 07/16/2004
- Oath or Declaration filed on 04/25/2005
- Request for Immediate Examination filed on 07/16/2004
- U.S. Basic National Fees filed on 07/16/2004

- Substitute Specification filed on 07/16/2004
- Priority Documents filed on 07/16/2004

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

FORM PCT/DO/EO/803 (371 Acceptance Notice)